

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

#### **Alaska Alcoholic Beverage Control Board**

## Form AB-01: Transfer License Application

This transfer license application form is required for all individuals or entities seeking to apply for the transfer of ownership and/or location of an existing liquor license. Applicants should review **Title 04** of **Alaska Statutes** and **Chapter 305** of the **Alaska Administrative Code**. All fields of this form must be completed, per AS 04.11.260, AS 04.11.280, AS 04.11.290, 3 AAC 305.045 and 3 AAC 305.060.

This form must be completed and submitted to AMCO's Anchorage office, along with all other required forms and documents before any license application will be considered complete.

| before any license application will be considered complete.   |   |           |           |      |              |  |
|---|---|-----------|-----------|------|--------------|--|
|   | Section 1 – Trans   | feror Inf | ormation  |      |              |  |
| Enter information for the cur   | rent licensee and licensed establishmer                           | nt.       |           |      |              |  |
| Licensee:   | ee: American Cruise Lines, Inc. License #: 6123                   |           |           |      |              |  |
| License Type:   | License Type: Common Carrier Dispensary Statutory Reference: AS 0 |           |           |      | AS 04.09.260 |  |
| Doing Business As:  | Doing Business As: American Constellation (USCG 1273676)          |           |           |      |              |  |
| Premises Address:   | Alaska Waters   |           |           |      |              |  |
| City:   | N/A   | State:    | N/A       | ZIP: | N/A          |  |
| Local Governing<br>Body/Bodies:   | None  |           |           |      |              |  |
| Transfer Type:  Regular transfer  Transfer with securir  Involuntary retrans  Controlling interest  Location transfer  Complete Date: | fer   |           | action #: |      |              |  |
| Board Meeting Date:   |   |           | e Years:  |      |              |  |
| Issue Date:   |   | Exami     |           |      |              |  |



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|   | Section 2 – Transf                                      | feree Inf   | ormation       |       |         |  |
|---|---|-------------|----------------|-------|---------|--|
| Enter information for the <i>new</i>  | applicant and/or location seeking to b                  | e licensed. |                |       |         |  |
| Licensee:   | American Cruise Lines, Inc.                             |             |                |       |         |  |
| Doing Business As:  | American Constellation (US                              | SCG 1273    | 676)           |       |         |  |
| Premises Address:   | Alaska Waters   |             |                |       |         |  |
| City:   | N/A   | State:      | N/A            | ZIP:  | N/A     |  |
| Community Council,<br>(If applicable):  | None  |             |                |       |         |  |
| Mailing Address:  | 741 Boston Post Road, Sui                               | te 200      |                |       |         |  |
| City:   | Guilford  | State:      | СТ             | ZIP:  | 06437   |  |
| Email:  | renner@americancruiselines.com                          | Phone:      | 203-453-       | 6800  |         |  |
| Designated Licensee:  | Susan K. Renner   |             |                |       |         |  |
| Contact Phone:  | ontact Phone: 203-453-6800 Business Phone: 203-453-6800 |             |                |       | 53-6800 |  |
| Contact Email:  | renner@americancruiseline                               | es.com      | <u>.</u>       |       |         |  |
| Seasonal License?   | No  If "Yes", write your si                             | x-month op  | erating period | 5/1 - | 10/31   |  |
|   | Section 3 – Prem  | ises Info   | rmation        |       |         |  |
| Premises to be licensed is:  an existing facility   | a new building  | a propose   | d building     |       |         |  |
| The next two questions must be completed by <u>beverage dispensary</u> (including tourism) and <u>package store</u> applicants only:  |   |             |                |       |         |  |
| What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the outer boundaries of the nearest school grounds? Include the unit of measurement in your answer (Must be in feet). |   |             |                |       |         |  |
| N/A   |   |             |                |       |         |  |
| What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the public entrance of the nearest church building? Include the unit of measurement in your answer (Must be in feet.) |   |             |                |       |         |  |
|   | N/A   |             |                |       |         |  |



**Email:** 

Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

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#### Section 4 – Sole Proprietor Ownership Information This section must be completed by any sole proprietor who is applying for a license. Entities should skip to Section 5. If more space is needed, please attach a separate sheet with the required information. The following information must be completed for each licensee and each affiliate (spouse). This individual is an: applicant affiliate Name: Address: City: State: ZIP: Email: Phone: This individual is an: applicant affiliate Name: Address: City: State: ZIP:

#### **Section 5 – Entity Ownership Information**

Phone:

This section must be completed by any <u>entity</u>, including a corporation, limited liability company (LLC), partnership, or limited partnership, that is applying for a license. Sole proprietors should skip to Section 6.

If more space is needed, please attach a separate sheet with the required information.

- If the applicant is a <u>corporation</u>, the application shall be executed by an authorized officer of the Corporation. Information must be completed below for each *stockholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary*, and *managing officer*.
- If the applicant is a <u>limited liability organization</u>, whether manager managed or member managed, the following information must be completed for each *member with an ownership interest of 10% or more* and for each *manager regardless of ownership share*.
- If the applicant is a <u>partnership</u>, including a <u>limited partnership</u>, the following information must be completed for each <u>partner</u> with an interest of 10% or more, and for each <u>general partner</u>.
- For any entity, identify all affiliates for your organization as defined at 3 AAC 305.950.

| Entity Official: | Fleet Blue, Inc.                |        |              |                      |     |       |  |
|------------------|---------------------------------|--------|--------------|----------------------|-----|-------|--|
| Title(s):        | Shareholder                     | Phone: | 203-453-6800 | <b>% Owned:</b> 100% |     |       |  |
| Address:         | 741 Boston Post Road, Suite 200 |        |              |                      |     |       |  |
| City:            | Guilford                        | State: | СТ           | ZIP:                 | 064 | 06437 |  |
| Email:           | renner@americancruiselines.com  | Phone: |              |                      |     |       |  |



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| _  |   |                                 |                      |              |   |                       |            |                      |    |
|--|---|---------------------------------|----------------------|--------------|---|-----------------------|------------|----------------------|----|
| Entity Official:   | Charles B. F                                      | Robertsc                        | n                    |              |   |                       |            |                      |    |
| Title(s):  | President, Dire                                   | ector                           | Phone                | <b></b>      | 203-453-6                                 | 3-6800 % Owned:       |            | 0                    |    |
|  | 741 Boston Po                                     |                                 | uite 2               | 00           | 1   |                       |            | <u> </u>             |    |
| City:  | Guilford  | ŕ                               | State:               |              | СТ  |                       | ZIP:       | 0643                 | 37 |
| Email:   | cbrobertson@american                              | cruiselines.com                 | Phone                | <b>3</b> :   |   |                       |            |                      |    |
| Entity Official:   | Susan K. Renr                                     | ner                             |                      |              |   |                       |            |                      |    |
| Title(s):  | Director, Secretary,                              | Treasurer                       | Phone                | <b></b>      | 203-453-6                                 | 800                   | % Owi      | ned:                 | 0  |
| Address:   | 741 Boston Po                                     | ost Rd., Si                     | uite 20              | 00           | 1   |                       |            | <u> </u>             |    |
| City:  | Guilford  |                                 | State:               |              | СТ  |                       | ZIP:       | 064                  | 37 |
| Email:   | renner@americancruise                             | elines.com                      | Phone                | <b>:</b> :   |   |                       |            | I                    |    |
| Entity Official:   |   |                                 |                      |              |   |                       |            |                      |    |
| Title(s):  |   |                                 | Phone                | <b></b>      |   |                       | % Owi      | ned:                 |    |
| Address:   |   |                                 |                      |              | 1   |                       |            |                      |    |
| City:  |   |                                 | State:               |              |   |                       | ZIP:       |                      |    |
| Email:   |   |                                 | Phone                | <b>:</b> :   |   |                       | l          |                      |    |
| his subsection must be comp<br>anding with the Alaska Divis<br>omestic corporation authori | sion of Corporations (I<br>zed to transact busine | DOC). The regisess in the state | stered ag<br>and who | ent<br>ose k | is either an indivi<br>ousiness office is | idual res<br>the same | ident of t | he state<br>egistere | or |
| CBPL Entity #:   | 10004241  | l                               | Date:                |              | 4/04/2012                                 | Home                  | State:     | DE                   |    |
| Registered Agent:  | Tonya Tishe                                       | er                              |                      | Α            | gent's Phone:                             |                       |            |                      |    |
| Agent's Mailing Address:   | c/o Reliable                                      | Transfer,                       | 8718                 | IV           | 1allard St.                               |                       |            | 1                    |    |
| City:  | Juneau  | State:                          |                      | Α            |   | ZIP:                  |            | 9980                 | )1 |
| Email:   | tonya@reliabl                                     | etransfer.c                     | om                   | Pl           | hone:                                     | 907-                  | 789-14     | 90                   |    |
| esidency of Agent: Alas  | ka  |                                 |                      |              |   |                       |            | Yes                  | No |
| Does your registered ag  | ent satisfy the require                           | ement of AS 04.                 | .11.430?             |              |   |                       |            |                      |    |



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|     | Section 6 – Other Licenses   |              |              |
|-----|--|--------------|--------------|
| Owr | nership and financial interest in other alcoholic beverage businesses:   | Yes          | No           |
|     | Does any representative or owner named as a transferee in this application have any direct or indirect financial interest in any other alcoholic beverage business that does business in or is licensed in Alaska? |              | $\checkmark$ |
|     | f "Yes", disclose which individual(s) has the financial interest, what the type of business is, and if licensed in Ala<br>icense number(s) and license type(s):  | ska, whic    | ch           |
|     |  |              |              |
| L   | Section 7 – Authorization  |              |              |
| Com | munication with AMCO staff:  | Yes          | No           |
|     | Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?  | $\checkmark$ |              |
| ŀ   | f "Yes", disclose the name of the individual and the reason for this authorization:  |              |              |
|     | Jessica Brown - Partner with Holland & Knight, LLP as liquor counsel   |              |              |
|     | jessica.brown@hklaw.com  |              |              |
|     | 415-216-6643   |              |              |



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## Form AB-01: Transfer License Application

#### **Section 8 - Transferor Certifications**

Additional copies of this page may be attached, as needed, for the controlling interest of the current licensee to be represented.

I declare under penalty of perjury that the undersigned represents a **controlling interest** of the current licensee. I additionally certify that I, as the current licensee (either the sole proprietor or the controlling interest of the currently licensed entity) have examined this application, approve of the transfer of this license, and find the information on this application to be true, correct, and complete.

| Susan K Reune              |                      |                     |                      |                             |               |
|----------------------------|----------------------|---------------------|----------------------|-----------------------------|---------------|
| Signature of transferor    | <del></del>          |                     |                      |                             |               |
| SUSAN K RENNER             |                      |                     |                      |                             |               |
| Printed name of transferor | Subscribed and sworn | Notary Public in an | f Mille              | Signature of Notices: 0435/ | Notary Public |
| Signature of transferor    |                      |                     |                      |                             |               |
| Printed name of transferor | Subscribed and sworn | to before me this   | day of               |                             | 20            |
|                            |                      |                     |                      |                             | ñ             |
|                            |                      |                     |                      | Signature of N              | Notary Public |
|                            |                      | Notary Public in an | d for the State of _ |                             |               |
|                            |                      |                     | My commission exp    | oires:                      |               |



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# Section 9 - Transferee Certifications Read each line below, and then sign your initials in the box to the right of each statement: **Initials** I certify that all proposed licensees (as defined in AS 04.11.260) and affiliates have been listed on this application. I certify that all proposed licensees have been listed with the Division of Corporations. I certify that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued. I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check the identification of a patron will complete an approved alcohol server education course, if required by AS 04.21.025, and, while selling or serving alcoholic beverages, will carry or have available to show a current course card or a photocopy of the card certifying completion of approved alcohol server education course, if required by 3 AAC 305.700. I agree to provide all information required by the Alcoholic Beverage Control Board in support of this application. I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all the information contained herein, and evidence or other documents submitted are true and correct. I understand that any falsification or misrepresentation of any item or response in this application, or any attachment, or documents to support this application, is sufficient grounds for denying or revoking a license/permit. I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification. I certify that I and any individual identified in the business entity ownership section of this application, has, or will read AS 04 and its implementing regulations. Signature of Notary Public Notary Public in and for the State of Printed name My commission expires: \_\_\_\_

Subscribed and sworn to before me this day of



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#### **Alaska Alcoholic Beverage Control Board**

### Form AB-02: Premises Diagram

#### Why is this form needed?

A detailed diagram of the proposed licensed premises is required for all alcohol license applications, per AS 04.11.260, 3 AAC 305.630 and 3 AAC 305.660. Your diagram must include dimensions and must show all entrances and boundaries of the premises, walls, bars, fixtures, and areas of storage, service, consumption, and manufacturing.

This form must be completed and submitted to AMCO's Anchorage office before any license application will be considered complete. You may attach blueprints or other detailed drawings that meet the requirements of this form.

#### The diagram MUST include:

- You must use a solid, contiguous red line to outline the outer perimeter of your premises with no breaks or separations.
  - o The red outline is required to follow a physical barrier (wall, fence and even across doorways).
  - There should be no red lines within the perimeter
- Each area should be clearly labeled in any color other than red where alcohol is:
  - Stored
  - Served/Sold
  - Manufactured
  - Consumed
- All diagrams must include:
  - Dimensions (AMCO does not accept diagrams drawn to scale)
  - Cross streets
  - Points of reference, such as a compass rose indicating True North
  - All entrances, exits, walls, bars, and fixtures
- If your premises include multiple floors, please include a separate diagram of each floor.
  - You must identify the stairs between each floor, and each hallway/corridor that leads to each set of stairs.
- If your premises includes multiple floors, please include a separate diagram of each floor. You must identify the stairs between each floor, and each hallway/corridor that leads to each set of stairs.
- If your proposed premises is located within a building or building complex that contains multiple businesses and/or tenants, please provide an additional page that clearly shows the location of your proposed premises within the building or building complex, along with the addresses and/or suite numbers of the other businesses and/or tenants within the building or building complex.
- Any license applications that include outdoor space are required to submit a security plan that includes
  information about the barriers, practices, and personnel that are to be used to ensure that alcohol is not
  introduced or removed from the permitted premises and to prevent the access of alcohol by a minor during
  the permitted event. A security plan may be requested for other proposed locations on a case-by-case basis.

#### Section 1 – Establishment Information

Enter information for the business seeking to be licensed, as identified on the license application.

| Licensee:          | License | Number: |      |  |
|--------------------|---------|---------|------|--|
| License Type:      |         |         |      |  |
| Doing Business As: |         |         |      |  |
| Premises Address:  |         |         |      |  |
| City:              | State:  |         | ZIP: |  |

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**Alaska Alcoholic Beverage Control Board** 

# Form AB-02: Premises Diagram

### **Section 2 – Detailed Premises Diagram**

| Clearly indicate the boundaries of the premises and the proposed licensed area within that property. See above for detailed instructions. |
|---|
|   |
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